



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS A
BRIGHTER
FUTURE**



The Whistleblower Directive – a Maltese Perspective

Course No 136

Whistleblowing is one of the largest forms of detection of occupational, fraud, bribery and corruption and one of the best methods for the prevention of commercial crime. Yet, there are still individuals who, due to fear of retaliation, do not report any wrongdoings as there is a perception that these whistleblowers are not afforded enough protection. With the implementation of Directive (EU) 2019/1937 of the European Parliament and of the Council of 23 October 2019 on the protection of persons who report breaches of Union law (also known as the “Whistleblower Protection Directive”, the EU is sending a strong message that those employees seeking protection or retribution from exposing and disclosing wrongdoing, will receive it. The Directive, which was entered into force on 16th December 2019 with an implementation deadline of 17th December 2021, sets out the requirements for EU members states regulations in relation to the protection of whistleblowers and disclosures. Amongst other things that will be impacted by the Directive and its transposition into local legislation, the Directive will be applicable to various entities in the public and private sector. This course is intended to provide some insights on what to expect with the upcoming local legislation, which entities would fall within scope and what procedures should be put in place to ensure entities remain in compliance of the legislation.

Supported by:



Sample Topics Covered:

The types of organisations, external parties and authorities affected by the Whistleblowing Reporting Procedures Directive

How to create and implement effective reporting procedures

The difference between internal and external reporting procedures and public disclosures

The role of the Whistleblowing Reporting Officer /Unit within the organisation

The information that must be provided to be deemed a protected disclosure

The type of breaches protected under the new Whistleblower Protection Directive

The types of retaliation employees can be protected from within organisations

How to keep a proper record of breaches and whistleblowing reports

Comparison between the EU Directive and the applicable Maltese legislation

What is to be expected in the transposition of the Directive

General Information

Time:	09.30 - 12.00hrs
Venue:	Malta Stock Exchange <i>Subject to COVID-19 related restrictions</i> Online
Level:	Introductory

Schedule

Duration:	2.5 hours
Dates:	<input type="checkbox"/> 2 February <input type="checkbox"/> 5 October

Target Audience

All executives of financial service organisations, compliance officers, lawyers, auditors and consultants and CSPs.

Registration fee: **€75 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the '**apply now**' button and completing the online application process.

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Lecturer

Mr Guillaume Vermeulen



Guillaume is a Certified Fraud Examiner (CFE) and is an Analyst within the Advisory - Risk and Compliance Department at RSM Malta. He holds an Honours degree in Forensic Accounting with more than six years' experience in the fraud and commercial crime prevention and investigation field. He previously worked with a Big 4 firm in South Africa, as well as with the largest law firm in Africa and as an independent contractor servicing various clients. During this time, he gained experience in forensic investigations, identifying control weaknesses, due diligence, risk management and implementing controls in global corporations, public sector and local companies. Having joined RSM in January 2020, Guillaume assist the firm's clients with Risk Management, Fraud Prevention, Investigation and Whistleblower services. Guillaume has further provided other services and training in relation to the prevention of fraud and corruption which includes analysing entities' policies and procedures to create relevant and adequate material, customised for the client. In this regard, he has provided extensive in-house and external training to organisations in relation to Whistleblowing and the protection of reporting persons based on applicable legislation and experience.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code MT04VALL22013000000040025119059
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Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.