



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS A
BRIGHTER
FUTURE**

The Salient Points of an AML/CFT Visit: What to Expect

Course No 225

The Financial Intelligence Analysis Unit (FIAU) issue several administrative measures related to contraventions on AML/CFT shortcomings.

The aim of this course is to highlight the main findings stemming from the administrative measures enforced on subject persons who contravened their AML/CFT obligations emanating from the Prevention of Money Laundering and Funding of Terrorism Regulations (PMLFTR) and the FIAU Implementing Procedures issued thereunder, taking also into account the FIAU enforcement factsheet published in May 2021.

Supported by:

DILIGEX.

Sample Topics Covered:

What is expected from the Supervisory Authorities

The key objectives of an AMLCFT visit

The process and timelines of the AMLCFT visit

What to focus on and what to improve

Administrative penalties

General Information

Time:	13.00 - 16.00hrs
Venue:	Malta Stock Exchange <i>Subject to COVID-19 related restrictions</i> Online
Level:	Intermediate

Schedule

Duration:	3 hours
Dates:	<input type="checkbox"/> 27 June <input type="checkbox"/> 18 November

Target Audience

Managers, executives, compliance officers and stakeholders who work in the financial services sector or in subject entities.

Registration fee: **€95 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the '**apply now**' button and completing the online application process.

Malta Stock Exchange Institute Ltd.

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Lecturers

Ms Jeanette Gatt



AML/CFT and Compliance Certified Professional with special focus on financial crime compliance, including prevention of money laundering and countering the funding of terrorism. During her career, she has worked with various licenced entities in the financial sector and non-financial sector, having served as Head of Compliance and Money Laundering Reporting Officer (MLRO). Ms Gatt is also involved in various local committees. ICA Certified.

Ms Tiziana Grech



Highly skilled in AML/ CFT with experience in various set-ups, including working at a Supervisory Authority. She is also a Financial Crime Specialist, with special focus on AML/ CFT and is CAMS and CAFCA Certified.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code: MT04VALL22013000000040025119059
IBAN BIC: VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.