



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS A
BRIGHTER
FUTURE**



The Customer Due Diligence Process

Course No 143

Customer Due Diligence (CDD) is a rigorous process that most businesses and customers alike have become accustomed to. This course will deal the CDD process that subject persons are required to carry out upon entering a business relationship and/or when carrying out an occasional transaction, the different types of documents that are to be obtained and at what stage, scrutinizing customers' source of wealth and source of funds and when the application of Simplified and Enhanced due diligence is permitted.

Supported by:

CAMILLERI PREZIOSI
ADVOCATES

Sample Topics Covered:

Overview of CDD

Who is the customer?

Identification and verification procedures

Customer vs agent

Purpose and intended nature of business relationship

Source of wealth and source of funds

Simplified due diligence

Enhanced due diligence

General Information

Time: 09.30 - 12.00hrs
Venue: Malta Stock Exchange
Subject to COVID-19 related restrictions
Online
Level: Introductory

Schedule

Duration: 2.5 hours
Dates: 7 July
 11 November

Target Audience

This course is aimed at anti-money laundering reporting officers, compliance officers, directors, industry practitioners, lawyers and accountants.

Registration fee: **€75 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the **'apply now'** button and completing the online application process.

Malta Stock Exchange Institute Ltd.

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Lecturers

Mr Peter Mizzi



Peter works as an advisor at Camilleri Preziosi advising primarily in anti-money laundering regulation and regulatory compliance in financial services law. Peter holds an International Diploma in Anti-Money Laundering from the International Compliance Association (ICA) as

well as a Bachelor of Science degree in Business Administration with International Business from the University of London (UOL).

Dr Luke Mizzi



Luke is an Associate at Camilleri Preziosi practicing primarily in financial services and compliance law, banking and finance and anti-financial crime regulation. Luke also advises on regulatory aspects relating to credit and financial institutions, and investment service providers. Luke holds a Doctor of Laws

degree from the University of Malta as well as a Master of Laws from University College London (UCL) with a specialisation in Banking and Finance Law.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code: MT04VALL22013000000040025119059
IBAN BIC: VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.