



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**



AML/CFT Risk: The Link between the BRA, CRA and the JRA

Course No 235

In terms of the PLMFTR, subject persons are required to identify and assess the risks of money laundering and terrorist financing their businesses are exposed to. In doing so, subject persons need to reflect these risks in their BRA, taking into consideration any risk exposure identified during the assessments of the JRA and any client risks identified in the CRA. These 3 important documents need to be linked so that a subject person can understand the risk exposures and take mitigating measures as necessary.

Supported by:

DILIGEX.

Sample Topics Covered:

The importance of the Assessment framework

The key elements of the BRA, CRA and JRA

How to link the BRA, CRA and JRA

Enhancing the mitigating controls

Assessing the robustness of the Assessment framework

Practical steps – do's and don'ts

Best practices and ongoing reviewing

General Information

Time: 09:30 - 12:00
Venue: Online
Level: Intermediate

Schedule

Duration: 2.5 hours
Dates: 25 January
 30 October

Target Audience

Managers, executives, compliance officers and stakeholders who work in the financial services sector or in subject entities.

Registration fee: **€95 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the '**apply now**' button and completing the online application process.

Malta Stock Exchange Institute Ltd.

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Lecturers

Ms Jeanette Gatt



AML/CFT and Compliance Certified Professional with special focus on financial crime compliance, including prevention of money laundering and countering the funding of terrorism. During her career, she has worked with various licenced entities in the financial sector and non-financial sector, having served as Head of

Compliance and Money Laundering Reporting Officer (MLRO). Ms Gatt is also involved in various local committees. ICA Certified.

Ms Tiziana Grech



Highly skilled in AML/ CFT with experience in various set-ups, including working at a Supervisory Authority. She is also a Financial Crime Specialist, with special focus on AML/ CFT and is CAMS and CAFCA Certified.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code: MT04VALL22013000000040025119059
IBAN BIC: VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.