



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**

The Role of a Compliance Officer in Company Service Providers: a Practical Approach

Course No 162

This course will delve into the regulatory universe applicable to Company Service Providers (CSPs) in Malta, paying particular attention to the role of the regulatory Compliance Officer in line with the MFSA's expectations. The course aims to provide attendees with an understanding of the core function of the Compliance Officer, as a key officer within a CSP, tasked with implementing a sound compliance function, monitoring the latter's viability and escalating any identified deficiencies to the Board for action.

Sample Topics Covered:

Legal definition of a CSP

Who can be a Compliance Officer?

Appointment and Removal

Core function and duties of a Compliance Officer

The Compliance Function

The Compliance Monitoring Program

Regulatory Reporting

Building a Compliance Culture

Supported by:



General Information

Time: 09.30 - 11.30hrs
Venue: Online
Level: Introductory

Schedule

Duration: 2 hours
Dates: 6 March
 27 October

Target Audience

CSPs, business advisors, risk managers, auditors, and business managers will find this course very useful.

Registration fee: **€75 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the '**apply now**' button and completing the online application process.

Malta Stock Exchange Institute Ltd.

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Lecturer

Dr Rebecca Bonnici



Dr. Rebecca Bonnici holds the position of Senior AML Compliance Consultant within BDO Malta and currently provides a holistic range of AML and risk advisory services to BDO's customers. Rebecca's experience to date has given her a practical legal understanding of the AML/CFT Compliance field. She has also been involved in providing a variety of services relating to regulatory compliance, particularly in terms of corporate service providers and trustee services, having also had regular exposure to the areas of residency and citizenship law. Rebecca holds a bachelor's degree with honours in law and a Master of Advocacy degree from the University of Malta. She is a lawyer by profession and holds a warrant to practice law in Malta.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code: MT04VALL22013000000040025119059
IBAN BIC: VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.