



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**



Source of Wealth & Source of Funds: Regulatory Guidance

Course No 225

The training will focus on Source of Wealth and Source of Funds obligations and what to look out for as well as information and documentation a Subject Person is expected to collate when there is third-party involvement. Reference will also be made to the FIAU Guidance issued in July 2022, titled 'On Obtaining Source of Wealth Information related to Parties other than the Customer'.

Supported by:

DILIGEX.

Sample Topics Covered:

The source of Wealth and Source of Funds:
Business Relationships and Occasional Transactions

Ongoing Monitoring Obligations

Suspicious Transaction Reports & Suspicious Activity
Reporting

FIAU Guidance on SoW information related to Parties other
than the Customer

General Information

Time: 09:00 - 12:00

Venue: Online

Level: Intermediate

Schedule

Duration: 3 hours

Dates: 04 April
 15 November

Target Audience

Directors and officers of private as well as public companies, corporate services providers, advisers, lawyers and accountants will all find this course highly interesting and useful.

Registration fee: **€80 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the '**apply now**' button and completing the online application process.

Malta Stock Exchange Institute Ltd.

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Lecturer

Ms Jeanette Gatt



AML/CFT and Compliance Certified Professional with special focus on financial crime compliance, including prevention of money laundering and countering the funding of terrorism. During her career, she has worked with various licenced entities in the financial sector and non-financial sector, having served as Head of

Compliance and Money Laundering Reporting Officer (MLRO). Ms Gatt is also involved in various local committees. ICA Certified.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

Bank: Bank of Valletta plc
IBAN Code: MT04VALL22013000000040025119059
IBAN BIC: VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.