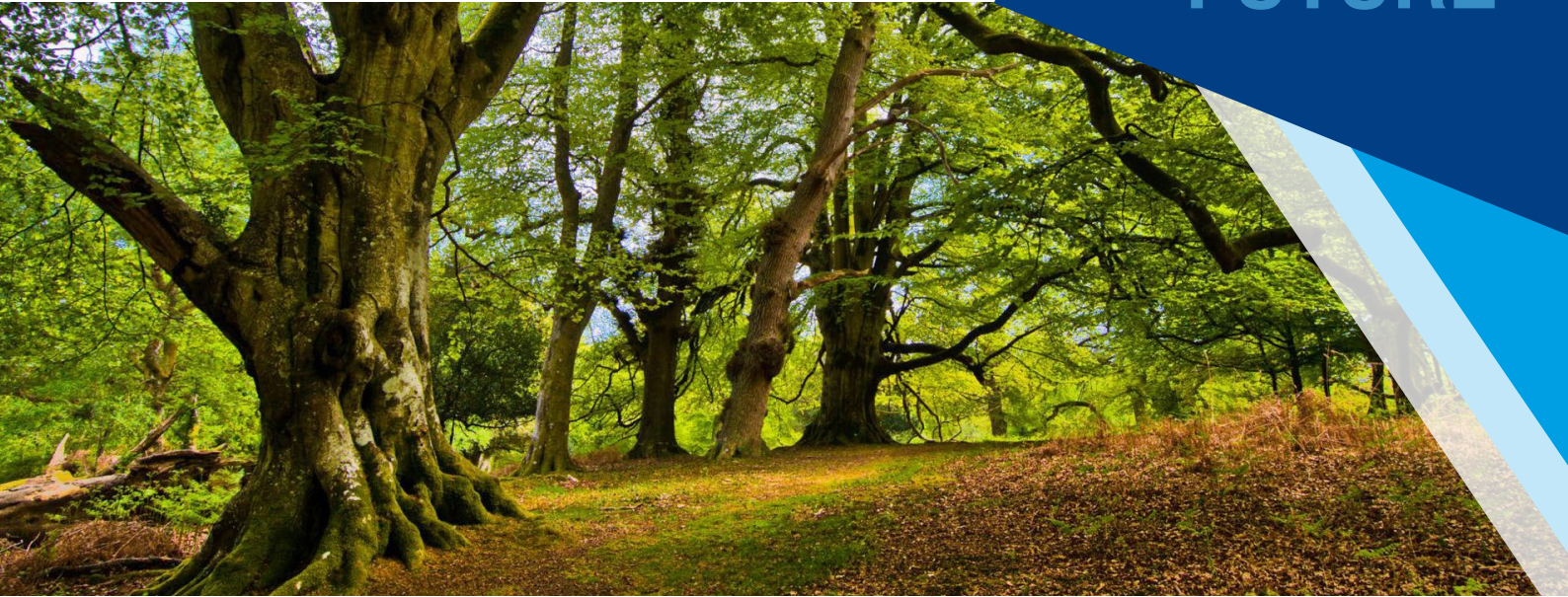




**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**



PEPs: The risk-based approach

Course No 181

Overview: While PEP status itself isn't indicative of criminal behaviour, the heightened risk prompts subject persons to adopt stringent AML/CFT precautions. This webinar seeks to provide a pragmatic approach as to 'when' and 'how' to conduct appropriate levels of due diligence, while shedding light on the intricacies that make this process less than straightforward.

Learning Outcomes:

Understanding the regulatory framework in relation to PEP

Understanding the definition of a Politically Exposed Persons

Defining family members of PEPs

Defining close associates of PEPs

Understanding the methods to adopt to define PEPs

Adopting a risk based approach when carrying out due diligence on PEPs
Enhanced due diligence measures for the above

Ongoing monitoring of business relationships involving PEP

General Information

Time: 09:00 - 11:00
Venue: Online
Level: Introductory

Schedule

Duration: 2 hours
Dates: 21 June

Target Audience

All financial services practitioners, persons working in compliance and AML, accountants, lawyers, notaries and business consultants.

Registration fee: **€80 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the **'apply now'** button and completing the online application process.

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Lecturer

Dr Elizabeth Borg



Dr. Elizabeth Sammut Borg, a seasoned lawyer and compliance specialist, currently holds the position of Money Laundering Reporting Officer (MLRO) for both CSP and Retirement Pension Scheme companies. Previously, Elizabeth headed the the compliance and legal division as Director of Legal and Compliance at Dixcart Management Malta Limited, serving also as MLRO and Compliance Officer on companies licenced to offer CSP and trustee services.

As a dedicated compliance specialist, Elizabeth focuses on navigating the intricate landscape of anti-money laundering and counter-financing of terrorism regulations as well as specialising in regulatory compliance for CSPs and trustees. Her extensive experience extends to serving as MLRO for CSPs, Trustees, and Fiduciaries, as well as retirement pension schemes companies. Beyond her role, Elizabeth provides AML training and guidance contributing to the development and enhancement of compliance standards within the industry.

Dr Borg graduated as a lawyer in 2010, subsequently reading Master's Degree in International Criminal Law, with the United Nations Interregional Crime Institute in Turin. Before joining Dixcart, Elizabeth served as an employment law prosecutor and also worked at the Court of the European Union in Luxembourg, as a legal jurist in the research and documentation department.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

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